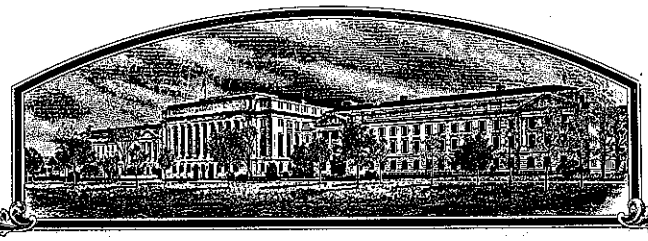


No. 9400031

THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

DEKALB Genetics Corporation

Whereas, THERE HAS BEEN PRESENTED TO THE

Secretary of Agriculture

AN APPLICATION REQUESTING A CERTIFICATE OF PROTECTION FOR AN ALLEGED DISTINCT VARIETY OF SEXUALLY REPRODUCED, OR TUBER PROPAGATED, PLANT, THE NAME AND DESCRIPTION OF WHICH ARE CONTAINED IN THE APPLICATION AND EXHIBITS, A COPY OF WHICH IS HEREUNTO ANNEXED AND MADE A PART HEREOF, AND THE VARIOUS REQUIREMENTS OF LAW IN SUCH CASES MADE AND PROVIDED HAVE BEEN COMPLIED WITH, AND THE TITLE THERETO IS, FROM THE RECORDS OF THE PLANT VARIETY PROTECTION OFFICE, IN THE APPLICANT(S) INDICATED IN THE SAID COPY, AND WHEREAS, UPON DUE EXAMINATION MADE, THE SAID APPLICANT(S) IS (ARE) ADJUDGED TO BE ENTITLED TO A CERTIFICATE OF PLANT VARIETY PROTECTION UNDER THE LAW.

NOW, THEREFORE, THIS CERTIFICATE OF PLANT VARIETY PROTECTION IS TO GRANT UNTO THE SAID APPLICANT(S) AND THE SUCCESSORS, HEIRS OR ASSIGNS OF THE SAID APPLICANT(S) FOR THE TERM OF TWENTY YEARS FROM THE DATE OF THIS GRANT, SUBJECT TO THE PAYMENT OF THE REQUIRED FEES AND PERIODIC REPLENISHMENT OF VIABLE BASIC SEED OF THE VARIETY IN A PUBLIC REPOSITORY AS PROVIDED BY LAW, THE RIGHT TO EXCLUDE OTHERS FROM SELLING THE VARIETY, OR OFFERING IT FOR SALE, OR REPRODUCING IT, OR IMPORTING IT, OR EXPORTING IT, OR CONDITIONING IT FOR PROPAGATION, OR STOCKING IT FOR ANY OF THE ABOVE PURPOSES, OR USING IT IN PRODUCING A HYBRID OR DIFFERENT VARIETY THEREFROM, TO THE EXTENT PROVIDED BY THE PLANT VARIETY PROTECTION ACT. (84 STAT. 1542, AS AMENDED, 7 U.S.C. 2321 ET SEQ.)

SOYBEAN

'CX411'

In Testimony Whereof, I have hereunto set my hand and caused the seal of the Plant Variety Protection Office to be affixed at the City of Washington, D.C. this twenty-ninth day of February in the year of our Lord one thousand nine hundred and ninety-six.

Attest:

Marsha A. Stanton

Commissioner
Plant Variety Protection Office
Agricultural Marketing Service

Dan Glickman

Secretary of Agriculture

FORM APPROVED: OMB 0581-0055, Expires 1/31/91

U.S. DEPARTMENT OF AGRICULTURE
AGRICULTURAL MARKETING SERVICE

APPLICATION FOR PLANT VARIETY PROTECTION CERTIFICATE

(Instructions on reverse)

Application is required in order to determine if a plant variety protection certificate is to be issued (7 U.S.C. 2421). Information is held confidential until certificate is issued (7 U.S.C. 2426).

1. NAME OF APPLICANT(S) *(as it is to appear on the Certificate)*
DEKALB Genetics Corporation

2. TEMPORARY DESIGNATION OR EXPERIMENTAL NO.
EX141

3. VARIETY NAME
CX411

4. ADDRESS *(street and no. or R.F.D. no., city, state, and ZIP)*
3100 Sycamore Road
DeKalb, IL 60115

5. PHONE *(Include area code)*
815/758-3461

FOR OFFICIAL USE ONLY

PVPO NUMBER
9400031

FILING
Date: 11-26-1993
Time: ☐ A.M. ☐ P.M.

FEES RECEIVED
Filing and Examination Fee: $ 2325.00
Date: Nov. 16, 1993
Certificate Fee: $ 300.00
Date: Feb. 20, 1996

6. GENUS AND SPECIES NAME
Glycine max L. Merr.

7. FAMILY NAME *(Botanical)*
Leguminosae

8. CROP KIND NAME *(Common Name)*
Soybean

9. DATE OF DETERMINATION
Summer 1990

10. IF THE APPLICANT NAMED IS NOT A "PERSON," GIVE FORM OF ORGANIZATION *(Corporation, partnership, association, etc.)*
Corporation

11. IF INCORPORATED, GIVE STATE OF INCORPORATION
Delaware

12. DATE OF INCORPORATION
June 15, 1988

13. NAME AND ADDRESS OF APPLICANT REPRESENTATIVE(S), IF ANY, TO SERVE IN THIS APPLICATION AND RECEIVE ALL PAPERS
Robert E. Roman, Jr., Assistant General Counsel
DEKALB Genetics Corporation
3100 Sycamore Road
DeKalb, IL 60115

PHONE *(Include area code)*: 815/758-3461

14. CHECK APPROPRIATE BOX FOR EACH ATTACHMENT SUBMITTED *(Follow INSTRUCTIONS on reverse)*

a. ☒ Exhibit A, Origin and Breeding History of the Variety.
b. ☒ Exhibit B, Novelty Statement.
c. ☒ Exhibit C, Objective Description of Variety.
d. ☐ Exhibit D, Additional Description of Variety.
e. ☒ Exhibit E, Statement of the Basis of Applicant's Ownership.
f. ☒ Seed Sample (2,500 viable untreated seeds). Date Seed Sample mailed to Plant Variety Protection Office _____.
g. ☒ Filing and Examination Fee ($2,150) made payable to "Treasurer of the United States."

15. DOES THE APPLICANT(S) SPECIFY THAT SEED OF THIS VARIETY BE SOLD BY VARIETY NAME ONLY AS A CLASS OF CERTIFIED SEED? *(See section 83(a) of the Plant Variety Protection Act.)*
☐ YES *(If "YES," answer items 16 and 17 below)* ☒ NO *(If "NO," skip to item 18 below)*

16. DOES THE APPLICANT(S) SPECIFY THAT THIS VARIETY BE LIMITED AS TO NUMBER OF GENERATIONS?
☐ YES ☐ NO

17. IF "YES" TO ITEM 16, WHICH CLASSES OF PRODUCTION BEYOND BREEDER SEED?
☐ FOUNDATION ☐ REGISTERED ☐ CERTIFIED

18. DID THE APPLICANT(S) PREVIOUSLY FILE FOR PROTECTION OF THE VARIETY IN THE U.S.?
☐ YES *(If "YES," through* ☐ Plant Variety Protection Act ☐ Patent Act. Give date: _____.)
☒ NO

19. HAS THE VARIETY BEEN RELEASED, USED, OFFERED FOR SALE, OR MARKETED IN THE U.S. OR OTHER COUNTRIES?
☒ YES (If "YES," give names of countries and dates) U.S.A., spring 1993
☐ NO

20. The applicant(s) declare(s) that a viable sample of basic seeds of this variety will be furnished with the application and will be replenished upon request in accordance with such regulations as may be applicable.

The undersigned applicant(s) is (are) the owner(s) of this sexually reproduced novel plant variety, and believe(s) that the variety is distinct, uniform, and stable as required in section 41, and is entitled to protection under the provisions of section 42 of the Plant Variety Protection Act.

Applicant(s) is (are) informed that false representation herein can jeopardize protection and result in penalties.

SIGNATURE OF APPLICANT *(Owner(s))*	CAPACITY OR TITLE	DATE
Robert Mark Lawson	DIRECTOR, RESEARCH OPERATIONS	10-27-93
SIGNATURE OF APPLICANT *(Owner(s))*	CAPACITY OR TITLE	DATE

Exhibit A

ORIGIN AND BREEDING HISTORY
CX411

CX411 is an F3 plant selection from the cross CX458 x CX366.

Summer 1986	Cross CX458 x CX366 was made.
Winter 1986-87	F1 generation was grown (range 1, row 21). F2 generation was grown (range 21, rows 1-10).
Summer 1987	The bulk F3 generation was grown (range 603, rows 25-40 and range 604, rows 1-24).
Summer 1988	Individual F4 plant rows were grown (range 177, row 9 through range 200, row 29). Range 188, row 21 was selected and coded 7TF281-418.
Winter 1988-89	F5 bulk seed (selection 7TF281-418) was grown (range 18, rows 25-28).
Summer 1989	Selection 7TF281-418 was recoded SY90022 and F6 seed was yield tested. One hundred eighteen (118) pounds of seed was produced.
Summer 1990	SY90022 was yield tested. Five thousand nine hundred twenty-five (5,925) pounds of breeder seed was produced.
Summer 1991	SY90022 was recoded EX141 and was yield tested. One thousand one hundred fifty (1,150) bushels of foundation seed was produced.
Summer 1992	EX141 was yield tested. Four thousand four hundred (4,400) bushels of registered seed was produced.
Winter 1992-93	EX141 was named CX411.

STATEMENT OF UNIFORMITY AND STABILITY

CX411 was judged to be uniform for breeding use and testing after seven generations of selfing. CX411 has been reproduced and judged uniform for breeding use and testing for an additional three generations.

STATEMENT OF VARIANTS

CX411 shows no variation other than what would be normally expected due to environment or that would occur for almost any characteristic during the course of repeated sexual reproduction.

Exhibit B

NOVELTY STATEMENT

CX411 most resembles CX458; however, CX411 has tan pods and the Rps1c gene for phytophthora resistance, whereas CX458 has brown pods and is susceptible to phytophthora.

Exhibit E – supplemented 1/24/96

STATEMENT OF THE BASIS OF APPLICANT'S OWNERSHIP

DEKALB Genetics Corporation is the sole, original, and first breeder of soybean variety CX411.

FORM APPROVED: OMB NO. 0581-0055

EXHIBIT C
(Soybean)

U.S. DEPARTMENT OF AGRICULTURE
AGRICULTURAL MARKETING SERVICE
LIVESTOCK, MEAT, GRAIN & SEED DIVISION
PLANT VARIETY PROTECTION OFFICE
BELTSVILLE, MARYLAND 20705

OBJECTIVE DESCRIPTION OF VARIETY
SOYBEAN (Glycine max L.)

NAME OF APPLICANT(S)	TEMPORARY DESIGNATION	VARIETY NAME
DEKALB Genetics Corporation	EX141	CX411

ADDRESS *(Street and No., or R.F.D. No., City, State, and Zip Code)*

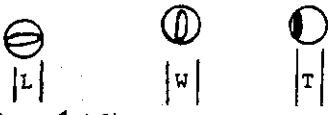
3100 Sycamore Road
DeKalb, IL 60115

FOR OFFICIAL USE ONLY
PVPO NUMBER
9400031

Choose the appropriate response which characterizes the variety in the features described below. When the number of significant digits in your answer is fewer than the number of boxes provided, place a zero in the first box when number is 9 or less (e.g., [0][9]). Starred characters ★ are considered fundamental to an adequate soybean variety description. Other characters should be described when information is available.

1. SEED SHAPE:

[2]



1 = Spherical (L/W, L/T, and T/W ratios = < 1.2)
2 = Spherical Flattened (L/W ratio > 1.2; L/T ratio = < 1.2)
3 = Elongate (L/T ratio > 1.2; T/W = < 1.2)
4 = Elongate Flattened (L/T ratio > 1.2; T/W > 1.2)

★ **2. SEED COAT COLOR: (Mature Seed)**

[1] 1 = Yellow 2 = Green 3 = Brown 4 = Black 5 = Other *(Specify)* _____

3. SEED COAT LUSTER: (Mature Hand Shelled Seed)

[1] 1 = Dull ('Corsoy 79'; 'Braxton') 2 = Shiny ('Nebsoy'; 'Gasoy 17')

★ **4. SEED SIZE: (Mature Seed)**

[1][5] Grams per 100 seeds

★ **5. HILUM COLOR: (Mature Seed)**

[6] 1 = Buff 2 = Yellow 3 = Brown 4 = Gray 5 = Imperfect Black 6 = Black 7 = Other *(Specify)* _____

★ **6. COTYLEDON COLOR: (Mature Seed)**

[1] 1 = Yellow 2 = Green

★ **7. SEED PROTEIN PEROXIDASE ACTIVITY:**

[2] 1 = Low 2 = High

★ **8. SEED PROTEIN ELECTROPHORETIC BAND:**

[ ] 1 = Type A ($SP1^a$) 2 = Type B ($SP1^b$)

★ **9. HYPOCOTYL COLOR:**

[2] 1 = Green only ('Evans'; 'Davis') 2 = Green with bronze band below cotyledons ('Woodworth'; 'Tracy')
3 = Light Purple below cotyledons ('Beeson'; 'Pickett 71')
4 = Dark Purple extending to unifoliate leaves ('Hodgson'; 'Coker Hampton 266A')

★ **10. LEAFLET SHAPE:**

[3] 1 = Lanceolate 2 = Oval 3 = Ovate 4 = Other *(Specify)* _____

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11. LEAFLET SIZE:

[2] 1 = Small ('Amsoy 71'; 'A5312') 2 = Medium ('Corsoy 79'; 'Gasoy 17') 3 = Large ('Crawford'; 'Tracy')

12. LEAF COLOR:

[2] 1 = Light Green ('Weber'; 'York') 2 = Medium Green ('Corsoy 79'; 'Braxton') 3 = Dark Green ('Gnome'; 'Tracy')

★ **13. FLOWER COLOR:**

[1] 1 = White 2 = Purple 3 = White with purple throat

★ **14. POD COLOR:**

[1] 1 = Tan 2 = Brown 3 = Black

★ **15. PLANT PUBESCENCE COLOR:**

[2] 1 = Gray 2 = Brown (Tawny)

16. PLANT TYPES:

[2] 1 = Slender ('Essex'; 'Amsoy 71') 2 = Intermediate ('Amcor'; 'Braxton') 3 = Bushy ('Gnome'; 'Govan')

★ **17. PLANT HABIT:**

[3] 1 = Determinate ('Gnome'; 'Braxton') 2 = Semi-Determinate ('Will') 3 = Indeterminate ('Nebsoy'; 'Improved Pelican')

★ **18. MATURITY GROUP:**

[0][7] 1 = 000 2 = 00 3 = 0 4 = I 5 = II 6 = III 7 = IV 8 = V 9 = VI 10 = VII 11 = VIII 12 = IX 13 = X

★ **19. DISEASE REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)**

BACTERIAL DISEASES:

★ [0] Bacterial Pustule *(Xanthomonas phaseoli* var. *sojensis)*

★ [0] Bacterial Blight *(Pseudomonas glycinea)*

★ [0] Wildfire *(Pseudomonas tabaci)*

FUNGAL DISEASES:

★ [0] Brown Spot *(Septoria glycines)*

Frogeye Leaf Spot *(Cercospora sojina)*

★ [0] Race 1 [0] Race 2 [0] Race 3 [0] Race 4 [0] Race 5 [0] Other *(Specify)* = _____

[0] Target Spot *(Corynespora cassiicola)*

[0] Downy Mildew *(Peronospora trifoliorum* var. *manshurica)*

[0] Powdery Mildew *(Microsphaera diffusa)*

★ [0] Brown Stem Rot *(Cephalosporium gregatum)*

[0] Stem Canker *(Diaporthe phaseolorum* var. *caulivora)*

19. DISEASE REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant) (Continued)

FUNGAL DISEASES: (Continued)

★ [1] Pod and Stem Blight *(Diaporthe phaseolorum* var; *sojae)*

[0] Purple Seed Stain *(Cercospora kikuchii)*

[0] Rhizoctonia Root Rot *(Rhizoctonia solani)*

Phytophthora Rot *(Phytophthora megasperma* var. *sojae)*

★ [2] Race 1 [0] Race 2 [2] Race 3 [0] Race 4 [0] Race 5 [0] Race 6 [0] Race 7

[0] Race 8 [0] Race 9 [0] Other *(Specify)* _____

VIRAL DISEASES:

[0] Bud Blight (Tobacco Ringspot Virus)

[0] Yellow Mosaic (Bean Yellow Mosaic Virus)

★ [0] Cowpea Mosaic (Cowpea Chlorotic Virus)

[0] Pod Mottle (Bean Pod Mottle Virus)

★ [0] Seed Mottle (Soybean Mosaic Virus)

NEMATODE DISEASES:

Soybean Cyst Nematode *(Heterodera glycines)*

★ [0] Race 1 [0] Race 2 [1] Race 3 [0] Race 4 [0] Other *(Specify)* _____

[0] Lance Nematode *(Hoplolaimus Colombus)*

★ [0] Southern Root Knot Nematode *(Meloidogyne incognita)*

★ [0] Northern Root Knot Nematode *(Meloidogyne Hapla)*

[0] Peanut Root Knot Nematode *(Meloidogyne arenaria)*

[0] Reniform Nematode *(Rotylenchulus reniformis)*

[0] OTHER DISEASE NOT ON FORM *(Specify):* _____

20. PHYSIOLOGICAL RESPONSES: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)

★ [0] Iron Chlorosis on Calcareous Soil

[0] Other *(Specify)* _____

21. INSECT REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)

[0] Mexican Bean Beetle *(Epilachna varivestis)*

[0] Potato Leaf Hopper *(Empoasca fabae)*

[0] Other *(Specify)* _____

22. INDICATE WHICH VARIETY MOST CLOSELY RESEMBLES THAT SUBMITTED.

CHARACTER	NAME OF VARIETY	CHARACTER	NAME OF VARIETY
Plant Shape	CX458	Seed Coat Luster	CX458
Leaf Shape	CX458	Seed Size	A3127
Leaf Color	CX458	Seed Shape	A3427
Leaf Size	CX458	Seedling Pigmentation	CX458

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23. GIVE DATA FOR SUBMITTED AND SIMILAR STANDARD VARIETY: Paired Comparison Data

VARIETY	NO. OF DAYS MATURITY	PLANT LODGING SCORE	CM PLANT HEIGHT	LEAFLET SIZE		SEED CONTENT		SEED SIZE G/100 SEEDS	NO. SEEDS/ POD
				CM Width	CM Length	% Protein	% Oil		
Submitted	140	1.5	86			35.3	18.8	14.7	2-3
Name of Similar Variety CX458	144	1.9	96			35.2	18.7	17.1	2-3

PUBLICATIONS USEFUL AS REFERENCE AIDS FOR COMPLETING THIS FORM:

1. Caldwell, B.E., ed. 1973. Soybeans: Improvement, Production, and Uses. Amer. Soc. Agron. Monograph No. 16.
2. Buttery, B.R. and R.I. Buzzell. 1968. Peroxidase activity in seeds of soybean varieties. Crop Sci., 8: 722-725.
3. Hymowitz, T. 1973. Electrophoretic analysis of SBTI-A_2 in the USDA soybean germplasm collection. Crop Sci., 13: 420-421.
4. Payne, R.C. and L.F. Morris. 1976. Differentiation of soybean cultivars by seedling pigmentation patterns. J. Seed Technol. 1: 1-19.

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EXHIBIT E

Statement of the Basis of Applicant's Ownership

CX411 was originated and developed by a breeder employed by DEKALB Genetics Corporation. By agreement between DEKALB Genetics Corporation and the breeder, all rights to any invention, discovery, or development are assigned to DEKALB Genetics Corporation. No rights to such invention, discovery, or development are retained by the breeder.